ASSOCIATION FORM A

IFRI FORM A

SHORT INSTRUMENT

This Association Form has been designed to obtain information about forest associations related to one or more forests through the activities of residents of one or more settlements.

A primary forest association is one or more user groups with rules, policies, and/or guidelines about the forest, some of which users have prescribed for themselves.

A secondary forest association is defined as two or more forest associations that work together to accomplish joint activities and/or objectives with rules, policies, and/or guidelines, some of which have been prescribed by the secondary forest association.

A tertiary forest association (or parent organization) is defined as two or more secondary forest associations that work together to accomplish some joint activities and/or objectives with rules, policies, and/or guidelines, some of which have been prescribed by the tertiary association.

Research ID<RID>:______________ Country ID <CID>:___________________ Site ID <SID>:__________________

Date of site visit (dd-mm-yr):__________________________________________________________________________

Date(s) data collected for this form (mm-dd-yr): ___________________________________________________________

Name of association <ANAME>:______________________________________________________________________

Is this forest association a <AFED>:
(1) ____ Primary Forest Association?
(2)____ Secondary Forest Association?
(3) ____Tertiary Forest Association?

Name(s) of forest(s):________________________________________________________________________________

Name(s) of settlement(s):_____________________________________________________________________________

Has this forest association been coded in previous years? <ACODED>:
(1) No
(2) Yes
(3) Uncertain
(4) Yes, with a different name (If this response is checked, write old name ____________________________<AOLDNAME>.)

A. HISTORY AND ACTIVITIES

A1. What year was this forest association formed? <AYEAR>___________
A2. Who initiated the initial formation of the forest association? <AINITWHO_>

*Multiple answers may be applicable.*
(1) ____Local users/user group,
(2) ____Local government/local NGO,
(3) ____Regional/national government or NGO,
(4) ____International NGO or government

A3. During the past year, has the forest association coordinated, passed rules or modified rules for the following activities?

<table>
<thead>
<tr>
<th>Activity</th>
<th>Mark “0” for No, “1” for Yes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Planting seeds, seedlings or other maintenance activities</td>
<td>&lt;AMAINT&gt;</td>
</tr>
<tr>
<td>Forest protection</td>
<td>&lt;APROTECT&gt;</td>
</tr>
<tr>
<td>Rule enforcement</td>
<td>&lt;ARULEENF&gt;</td>
</tr>
<tr>
<td>Harvesting/using</td>
<td>&lt;AHARVEST&gt;</td>
</tr>
<tr>
<td>Processing/producing</td>
<td>&lt;APROCESS&gt;</td>
</tr>
<tr>
<td>Selling</td>
<td>&lt;ASELL&gt;</td>
</tr>
<tr>
<td>Conflict resolution</td>
<td>&lt;ACONFLRES&gt;</td>
</tr>
</tbody>
</table>

B. **GOVERNANCE STRUCTURE**

B1. Is the executive committee (leadership) of the association similar to the majority of members of the association in the following social and economic characteristics?

<table>
<thead>
<tr>
<th>Socio-econ characteristics</th>
<th>Mark “0” for No-they are different, “1” for Yes-they are similar</th>
</tr>
</thead>
<tbody>
<tr>
<td>Level of education</td>
<td>&lt;ALEADEDUC&gt;</td>
</tr>
<tr>
<td>Wealth/socio-economic status</td>
<td>&lt;ALEADWEALTH&gt;</td>
</tr>
<tr>
<td>Ethnicity</td>
<td>&lt;ALEADETHNIC&gt;</td>
</tr>
</tbody>
</table>

B2. Can the association’s executive committee (leadership) be sanctioned or removed from office for poor performance? <AREMOVE>

*Mark only one answer.*

(0) ____No
(1) ____Yes

B3. How often does the executive committee (leadership) of the association change? <ALEADCHANGE>

*Mark only one answer.*

(1) ____Every year
(2) ____Every 2-3 years
(3) ____Every 4-5 years
(4) ____Less than every 5 years
(5) ____Never
B4. Are meetings held in which all members of the forest association are eligible to participate? <AMEETALL>

*Mark only one answer.*

(0) ____ No – skip to B6  
(1) ____ Yes

B5. If 'yes', how frequently are these meetings organized? <AMEETFREQ>

*Mark only one answer.*

(1) ____ At least once a month  
(1) ____ Once every 3-6 months  
(3) ____ Once a year  
(4) ____ Less than once a year  
(-96) ____ Not applicable

B6. Are records of any kind maintained by the association? <ARECMAINT>

*Mark only one answer.*

(0) No – skip to B8  
(1) Yes

B7. If records are maintained, are they available for examination by others? <AEXAMINE>

*Mark only one answer.*

(0) ____ No  
(1) ____ Yes  
(-96) ____ Not applicable

B8. Are the activities of the association supervised by a higher organization? <ASUPER>

*Mark only one answer.*

(0) No  
(1) Yes

B9. Do guards receive compensation? <APAIDG>

*Multiple answers may be applicable.*

(1) ____ No. They do not receive compensation.  
(2) ____ Yes. They are paid in cash through formal fines or funds collected by the association.  
(3) ____ Yes. They receive in-kind compensation from the association.  
(4) ____ Yes. They are paid through funds received by an external source.  
(5) ____ Yes. They receive compensation through bribes they receive from individuals caught illegally harvesting forest products.  
(-96) ____ Not applicable – There are no guards.
B10. How are guards selected to watch over the forest? <ASELGUARD>

Mark only one answer.
(1) ____ By election
(2) ____ By appointment
(3) ____ By lots
(4) ____ By rotation
(-96) ____ Not applicable- There are no guards.

B11. Has a woman ever been a member of the leadership of the association? <AFEMMEM>

Mark only one answer.
(0) ____ No
(1) ____ Yes

B12. On average, over the past five years, what is the single most important financial source for the forest association? <ASRCE5>

Mark only one answer.
(1) ____ Voluntary contribution of funds,
(2) ____ Membership fee
(3) ____ Payments that substitute for labor input
(4) ____ Fines
(5) ____ National or regional government
(6) ____ Development agency
(7) ____ Sales of forest products from the forest
(8) ____ Own taxes
(9) ____ Special levies
(10) ____ Aid from external NGOs
(11) ____ Aid from indigenous NGOs
(12) ____ Foreign government
(13) ____ Other<ASRC5OTH> _________________________________________________________________

B13. If the association did not receive any funds from external agencies and had to rely on contributions from members or its user group, or other funds raised locally, could it support all its expenditures? <ASUPPORT>

Mark only one answer.
(1) ____ No, it could not meet its expenses if no funds were received from external sources
(2) ____ Yes, it could support expenditures with a combination of sales of products and contributions from members
(3) ____ Yes, it could support itself by sales from forest products
(4) ____ Yes, it could support its expenditures by contributions from members alone

B14. How are the rules created by the association enforced? Are they enforced by: <AENFRULES>

Mark only one answer.
(1) ____ Local people - Members of the user group or officials appointed by the association(s)
(2) ____ External officials appointed by the government
(3) ____ Both- local people and external officials